

**Section A: Account Information**

Corporate Type

- Public Limited Company
  Enterprise
  Ass/Club Registered
  Government Agency
  Limited
  Unlimited
  Partnership
  Others (please specify)

**Existing Clients Affirmations: are you an existing client?**

I am an existing investor with (please tick where applicable)

- IRON Capital Partners Limited
  IRON Global Markets Limited
  IRON Wealth Managers Limited
  Others (please specify) \_\_\_\_\_

**Section B: Company Profile**

Company/ Name

Business Address

City

L.G.A

State

Mailing Address

Company of Operation  Nigeria  Others (please specify)

Office Phone

Company Email Address

Date Business Commenced

Date of Incorporation

Incorporation No

Website

SCUML Reg. No

Industry Sector

Main Line of Business

Tax Identification Number

Sources of income

Annual Revenue (Million ₦)

Average Turnover

0-10

10-50

50-100

100 - 500

Above 500

Contact Person 1

Contact Person 2

Designation Email

Designation Email

Email Address

Email Address

Phone Number

Phone Number

**Section C: Client's Bank Details**

**Bank Details 1**

Name of Bank

Account Name

Account Number

Account Type

Branch

Bank Verification Number (BVN)

**Bank Details 2**

Name of Bank

Account Name

Account Number

Account Type

Branch

Bank Verification Number (BVN)

**Section D: Directors' Information**

Attach 2 Signed  
Passport  
Photographs

Attach 2 Signed  
Passport  
Photographs

**DIRECTOR 1**

Surname

First Name

Other Name

Gender  Male  Female

Date of Birth  /  /

Address

Email

ID Type  Intl. Passport  Driver License  Other

Identification No

National Identification Number

Issue Date

Expiry Date

Mobile No

Bank Verification Number (BVN)

**DIRECTOR 2**

Surname

First Name

Other Name

Gender  Male  Female

Date of Birth  /  /

Address

Email

ID Type  Intl. Passport  Driver License  Other

Identification No

National Identification Number

Issue Date

Expiry Date

Mobile No

Bank Verification Number (BVN)

**Section E: Account Signatory 1 Details**

Title  Surname

First Name  Other Names

Mother's Maiden Name  State of Origin

Date of Birth  /  /  Gender  Male  Female Nationality

Marital Status  Single  Married  Widow  Other  Place of Birth

Residency Status  Permanent  Temporary Resident Permit

Permit Issue Date  Permit Expiry Date

Other Country of Tax Residence

ID Type  National ID  Driver's License  International Passport  Permanent Voters Card

Others (please specify)

ID Number  Issue Date

Expiry Date  Bank Verification Number

Occupation  Status / Job Title

Residential Address

Mobile Number 1  Mobile Number 2

Email Address

Class of Signatory

A  B  C

Signature

Date

/  /

Please Indicate class in the box provided

Account Signatory 2 Details

Title  Surname

First Name  Other Names

Mother's Maiden Name  State of Origin

Date of Birth  /  /  Gender  Male  Female Nationality

Marital Status  Single  Married  Widow  Other  Place of Birth

Residency Status  Permanent  Temporary Resident Permit

Permit Issue Date  Permit Expiry Date

Other Country of Tax Residence

ID Type  National ID  Driver's License  International Passport  Permanent Voters Card

Others (please specify)

ID Number  Issue Date

Expiry Date  Bank Verification Number

Occupation  Status / Job Title

Residential Address

Mobile Number 1  Mobile Number 2

Email Address

Class of Signatory  A  B  C  
Please Indicate class in the box provided

Signature  Date  /  /

Section F: Mandate Authorisation / Combination Rule

(Please tick as appropriate) Either to Sign  Two to Sign  All to Sign

Attach 2 Signed  
Passport  
Photographs

Attach 2 Signed  
Passport  
Photographs

SIGNATORY MANDATE AUTHORISED TO SIGN 1

Surname

First Name

Other Name

Class of Signatory

Gender  Male  Female

Date of Birth  /  /

Residential Address

Email Address

Identification Type  Int'l Passport  Driver's License  
 National Identification Number  Voters Card

SIGNATORY MANDATE AUTHORISED TO SIGN 2

Surname

First Name

Other Name

Class of Signatory

Gender  Male  Female

Date of Birth  /  /

Residential Address

Email Address

Identification Type  Int'l Passport  Driver's License  
 National Identification Number  Voters Card

Section F: Mandate Authorisation / Combination Rule (Continued)

Identification No

Bank Verification Number (BVN)

Issue Date

Expiry Date

Mobile No

Are you a Politically Exposed Person?  Yes  No  
(If Yes, please complete a PEP Form.)

Signature

Class: A  B

Identification No

Bank Verification Number (BVN)

Issue Date

Expiry Date

Mobile No

Are you a Politically Exposed Person?  Yes  No  
(If Yes, please complete a PEP Form.)

Signature

Class: A  B

Attach 2 Signed Passport Photographs

**NAME OF SIGNATORY 3**

Surname

First Name

Other Name

Class of Signatory

Gender  Male  Female

Date of Birth  /  /

Residential Address

Email Address

Identification Type  Int'l Passport  Driver's License  
 National Identification Number  Voters Card

Identification No

Bank Verification Number (BVN)

Issue Date

Expiry Date

Mobile No

Are you a Politically Exposed Person?  Yes  No  
(If Yes, please complete a PEP Form.)

Signature

Attach 2 Signed Passport Photographs

**NAME OF SIGNATORY 4**

Surname

First Name

Other Name

Class of Signatory

Gender  Male  Female

Date of Birth  /  /

Residential Address

Email Address

Identification Type  Int'l Passport  Driver's License  
 National Identification Number  Voters Card

Identification No

Bank Verification Number (BVN)

Issue Date

Expiry Date

Mobile No

Are you a Politically Exposed Person?  Yes  No  
(If Yes, please complete a PEP Form.)

Signature

**Declaration**

I / We hereby give my consent below that my investment should be managed by IRON Fund Management Limited. I understand that the information given herein and the documents supplied are the basis for opening such account and I therefore warrant that such information is correct.

I have read, understand and agreed to the terms and conditions governing the operations of the account which are presented overleaf.

Name  (Surname)  (First Name)  (Other Names)

**Terms and Conditions**

General Conditions (Otherwise herein referred to as "this Agreement")

**1. DEFINITIONS OF PARTIES**

IFML or IRON shall mean IRON FUND MANAGEMENT LIMITED; the "Client" shall mean any person or persons, including a corporate body for whom an account is opened with IRON Fund Management Limited for their benefit.

**2. CAPACITY TO ENTER INTO AGREEMENT**

The Client represents the following to IFML  
a. That the Client is not prevented legally, or by any other means from entering into this Agreement;  
b. That the Client has the mental capacity to enter this Agreement  
c. That the Client is a person above 18  
d. The Client has not declared bankruptcy

e. The Client has not been convicted of any Money Laundering offence or any other offence.  
2B. That the Client represents and warrants that no one except the Client or such person as previously disclosed to IRON Fund Management Limited, has an interest in the account(s) of the Client. If the foregoing representation and warranty is no longer inaccurate or true, the Client will promptly notify IRON Fund Management Limited of the same.

**3. JOINT ACCOUNTS**

Where an account is held in the name of two or more persons and there is more than one signatory required, their obligations under this agreement shall be joint and several.

**4. SIGNATURES**

Signatures are compared against specimens provided by the Client to IRON Fund Management Limited and further examination or investigation will not be carried out by IRON Fund Management Limited. IRON Fund Management Limited shall not be liable for failing to notice falsifications of signature in the absence of gross negligence.

In the event of a corporate client, the Client shall furnish IRON Fund Management Limited with a list of persons authorized to sign on its behalf, together with specimens of their signatures, and to inform IRON Fund Management Limited in writing of any change in that list or in those signatures.

Until it receives notice of any change, IRON Fund Management Limited is entitled to treat previously authorized signatures as valid and subsisting.

**5. DISCLOSURE, DATA PROTECTION AND CONFIDENTIALITY**

The Company shall take reasonable measures to protect the confidentiality and integrity of Client information, subject to the legal and statutory requirements.

IRON Fund Management Limited shall maintain all information concerning the Client's account(s) on a confidential basis, but IRON Fund Management Limited may be required by applicable laws and regulations to (and shall if required) disclose such information to the regulatory authorities including without limitation, the name, address and recent information with regards to the investments held by the client(s).

**6. REGULATORY COMPLIANCE**

IRON Fund Management Limited is required by Law to comply with the provisions of the Money Laundering Prohibition and Prevention Act 2022, the Economic and Financial Crimes Commission (Establishment) Act, 2002,

the National Drug Law Enforcement Agency Act of 1995 as amended from time to time and other legislation which may be enacted from time to time to combat money laundering and other predicate crimes. IRON Fund Management Limited is required to comply with the provisions of these legislations and all similar legislations, especially those relating to disclosure and suspicious activity reporting.

**7. CLIENT INSTRUCTIONS AND COMMUNICATION**

Instructions from the Client must be provided in writing or via secure electronic means. The Company shall not be liable for any losses arising from unauthorized or fraudulent instructions unless caused by gross negligence. The Client consents to receive communications (including statements, notices, and reports) via electronic channels.

Any communication from IRON Fund Management Limited to the Client shall be deemed to be validly delivered to the Client if sent to the latest address communicated in writing to IRON Fund Management Limited for this purpose.

The date indicated on copies of correspondence or on mailing records of IRON Fund Management Limited is presumed to be the date of delivery. In the absence of written instructions regarding the dispatch of correspondence, IRON Fund Management Limited will retain in its possession all correspondence concerning the Client and such correspondence shall be deemed validly delivered to the Client as of the date they bear.

Unless otherwise instructed in writing, IRON Fund Management Limited will destroy correspondence retained by it, including any cheques or instruments returned or unpaid, 5 years following the date thereof. IRON Fund Management Limited accepts no liability in respect of its retention of any correspondence for a client

**8. ROLLOVER OF INVESTMENTS**

Unless there is a clear instruction from the client to terminate the investment upon maturity, the company shall automatically roll over the client's investment.

**9. REDEMPTION AND WITHDRAWAL**

Client acknowledges and agrees that all withdrawal/redemption requests must be made using the designated channel (by completing the redemption form) and sending it through the recognized email address of the client. The client may also bring a physical copy of the redemption form to the company's address.

**10. NO PAYMENT TO AN UNRECOGNISED ACCOUNT**

Upon liquidation or payment of interest, the client agrees that IFML shall only make payment to the account details provided by the client in the Account Opening forms.

**11. PROCESSING TIMELINES AND MINIMUM NOTICE PERIODS**

Client acknowledges and agrees that processing timelines and minimum notice periods for transactions, including redemptions and withdrawals, shall be subject to the specific fund's terms but typically require a minimum notice of three (3) business days (T+3).

**12. EARLY WITHDRAWAL PENALTIES OR EXIT FEES**

Client agrees that early withdrawal penalties shall apply in the case of premature or pre-term liquidation.

**13. WARRANTY**

The Client warrants, undertakes and confirms that all information contained in this document and all attachments annexed hereto is complete and correct in all respects and the Client undertakes to notify IRON Fund Management Limited of any material changes or events as and when they occur.

Furthermore, the Client hereby authorizes IRON FUND Management Limited to obtain independent verification of any information provided by the Client or obtain such further information in compliance with statutory provisions on KNOW YOUR CUSTOMER (KYC) requirements, or make such further enquiries AS IRON Fund Management Limited may at its discretion require.

**14. THIRD-PARTY TRANSACTIONS**

No Unauthorized Third-Party Instructions: The Company will not act on instructions relating to the Client's account that originate from any third party, unless:

- a. The third party has been duly authorized by the Client via a written mandate, power of attorney, or other acceptable legal instrument, and
- b. Such authorization has been verified and accepted by the Company.

**15. INDEMNITY FOR THIRD-PARTY ACTIONS**

The Client agrees to indemnify and hold the Company harmless against any loss, liability, or damage arising from acting on instructions or representations made by an authorized third party, provided the Company has acted in good faith.

**16. PAYMENTS TO THIRD PARTIES**

Redemption or withdrawal proceeds shall only be paid into the Client's registered bank account unless a written, notarized instruction is submitted and approved by the Company in advance.

**17. RISK DISCLOSURE**

The Client acknowledges that authorizing third parties to operate or access the account increases the risk of fraud, misrepresentation, or error. The Company shall not be liable for any losses arising from such arrangements unless directly caused by gross negligence or misconduct on the part of the Company.

**18. LIABILITY DISCLAIMER**

The Company shall not be liable for any indirect, incidental, or consequential losses unless arising from proven gross negligence or fraud.

THIS IS ALREADY CONTAINED IN PARAGRAPH 7 OF THE AGREEMENT

**19. GOVERNING LAW OF AGREEMENT**

This Agreement shall be governed by, and construed in accordance with the laws of the Federal Republic of Nigeria.

It shall inure for the benefit of the successors in title and assigns of IRON Fund Management Limited to whom the account(s) of the Client may be transferred.

This Agreement shall be binding upon the heirs, personal representatives, successors and assigns of the Client.

**DECLARATION**

Authorised Signature

Date

 /  / 

Authorised Signature

Date

 /  / 

Would you like **IRON** inform you of unique investments opportunities in the market  CHN - Optional  CSCS - Optional

**FOR INTERNAL USE ONLY**

**Checklist of Requirements**

- Memorandum and articles of association  Form CAC 7  Certificate of incorporation/registration  Form CAC 2
- Company Utility bill: PHCN / Waste disposal / Tenancy agreement  Board Resolution  Utility Bill of Signatories
- Specimen signature cards duly completed by each signatory to the account  Letter from parastatal / agency
- Two clear passport photographs of each signatory with name written on the reverse  Letter from Accountant General

Account Officer's Name  Signature